



03CO-2/29/96

286-203 375-201
#3 65-205-UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
08/571,802	12/13/95	ISHII	

0262/0227

JANELLE D WAACK
ARNOLD WHITE AND DURKEE
P O BOX 4433
HOUSTON TX 77210-4433

DATE MAILED: 0000

**NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

02/27/96

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$_____ for large entities or \$_____ for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 1,452.00.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- ☐ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 750.00 to complete the basic filing fee.
- ☒ Additional claim fees of \$ 572.00 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- ☒ The oath or declaration:
☒ is missing.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

- ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:
_____. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$_____ under 37 CFR 1.17(k), unless this fee has already been paid.
- ☐ A \$_____ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
- ☐ Your filing receipt was mailed in error because your check was returned without payment.

- ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.

- ☐ Other.

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

*** Copy of this notice MUST be returned with the response.**



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DOUGLAS N. ISHII

Serial No.: 08/571,802

Filed: December 13, 1995

For: METHOD FOR EFFECTING CHANGES
IN THE CENTRAL NERVOUS SYSTEM
BY ADMINISTRATION OF IGF-I-OR IGF-II

Group Art Unit:

Examiner:

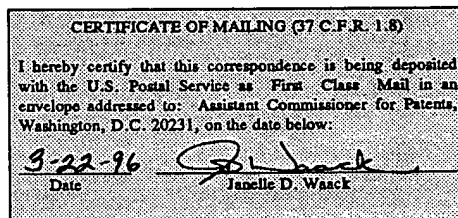
Attorney Docket: CSUA019/WAA

RESPONSE TO 37 CFR 1.53(d) NOTICE

BOX MISSING PART

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:



In response to the Notice to File Missing Parts of Application mailed February 27, 1996, Applicant submits the following items:

- (1) Declaration executed by Douglas N. Ishii;
- (2) Assignment to Colorado State University Research Foundation and Recordation Form Cover Sheet;
- (3) Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney;
- (4) Declaration Claiming Small Entity Status (Colorado State University Research Foundation);
- (5) Declaration Claiming Small Entity Status (Aurogen, Inc.);
- (6) check in the amount of \$766.00 to cover the filing fee, Assignment recordation fee, and surcharge set forth in 37 C.F.R. § 1.16(e); and
- (7) return copy of Notice to File Missing Parts of Application.

If the check is missing or insufficient, the Commissioner is authorized to charge any required fees, including any extension of time fees, or credit any overpayment, to Account No. 01-2508, Order No. CSUA019/WAA. Two duplicate copies of this sheet are enclosed.

Respectfully submitted,

Janelle D. Waack
Reg. No. 36,300

ARNOLD, WHITE & DURKEE
P. O. Box 4433
Houston, Texas 77210-4433
(713) 787-1686

ATTORNEY FOR ASSIGNEE,
COLORADO STATE UNIVERSITY
RESEARCH FOUNDATION

March 22, 1995